

1. Name of Listed Entity: Dhampur Sugar Mills Limited
2. Scrip Code/Name of Scrip/Class of Security: DHAMPURSUG
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

For Dhampur Sugar Mills Limited

Sd/-  
Aparna Goel  
Company Secretary

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders	No. of fully paid up equity	No. of Partly paid-up	No. of shares underlying	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								(III) shares held	(IV) shares held	equity (V)			Depository Receipts (VI)	(VII) = (IV)+(V)+(VI)	As a % of (A+B+C2)	No of Voting Rights		
Classes eg: X	Classes eg: y	Total																
A	Promoter & Promoter Group	17	32585637	0	0	32585637	49.09	32585637	0	32585637	49.09	0	49.09	0	0	250000	0.77	32585637
B	Public	49622	33801953	0	0	33801953	50.91	33801953	0	33801953	50.91	0	50.91	0	0			33550887
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0		0	0	0	0	0		0	0			0
	Total	49639	66387590	0	0	66387590	100	66387590	0	66387590	100	0	100	0	0	250000	0.38	66136524

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family		10	4979279	0	0	4979279	7.5	4979279	0	4979279	7.5	0	7.5	0	0	0	0	4979279
	SHEFALI PODDAR	AFVP P4958 C	1	31760	0	0	31760	0.05	31760	0	31760	0.05	0	0.05	0	0	0	0	31760
	APARNA JALAN	AAQP J0303 H	1	46100	0	0	46100	0.07	46100	0	46100	0.07	0	0.07	0	0	0	0	46100
	GAURAV GOEL	ADYP G056 1H	1	2016904	0	0	2016904	3.04	2016904	0	2016904	3.04	0	3.04	0	0	0	0	2016904
	ASHOK KUMAR GOEL	AGYP G124 8E	1	55384	0	0	55384	0.08	55384	0	55384	0.08	0	0.08	0	0	0	0	55384
	DEEPA GOEL	ADYP G056 3F	1	2341936	0	0	2341936	3.53	2341936	0	2341936	3.53	0	3.53	0	0	0	0	2341936
	ISHIRA GOEL	BMW PG84	1	105525	0	0	105525	0.16	105525	0	105525	0.16	0	0.16	0	0	0	0	105525

		01L																	
	ASHA KUMARI SWAROOP	AFPPS 5916 M	1	4	0	0	4	0	4	0	4	0	0	0	0	0	0	0	4
	VIJAY KUMAR GOEL	AAUP G681 9M	1	349116	0	0	349116	0.53	349116	0	349116	0.53	0	0.53	0	0	0	0	349116
	RITU SANGHI	AORP S0027 C	1	7500	0	0	7500	0.01	7500	0	7500	0.01	0	0.01	0	0	0	0	7500
	VINITA GOEL	ADYP G056 2E	1	25050	0	0	25050	0.04	25050	0	25050	0.04	0	0.04	0	0	0	0	25050
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		5	23287669	0	0	23287669	35.08	23287669	0	23287669	35.08	0	35.08	0	0	250000	1.07	23287669
	Bodies Corporate		5	23287669	0	0	23287669	35.08	23287669	0	23287669	35.08	0	35.08	0	0	250000	2.35	23287669
	SHUDH EDIBLE PRODUCTS LTD	AAFC S7202 L	1	4299680	0	0	4299680	6.48	4299680	0	4299680	6.48	0	6.48	0	0	0	0	4299680
	SONITRON LTD	AACC S0213 N	1	4940716	0	0	4940716	7.44	4940716	0	4940716	7.44	0	7.44	0	0	0	0	4940716

	SARASWATI PROPERTIES LTD	AAGC S9090K	1	3266758	0	0	3266758	4.92	3266758	0	3266758	4.92	0	4.92	0	0	0	0	3266758
	GOEL INVESTMENTS LTD.	AAAC G5286F	1	10655515	0	0	10655515	16.05	10655515	0	10655515	16.05	0	16.05	0	0	250000	2.35	10655515
	UJJWAL RURAL SERVICES LIMITED	AABC A2403M	1	125000	0	0	125000	0.19	125000	0	125000	0.19	0	0.19	0	0	0	0	125000
	Sub-Total (A)(1)		15	28266948	0	0	28266948	42.58	28266948	0	28266948	42.58	0	42.58	0	0	250000	0.88	28266948
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		2	4318689	0	0	4318689	6.51	4318689	0	4318689	6.51	0	6.51	0	0	0	0	4318689
	GAUTAM GOEL	ADYP G0564C	1	4242339	0	0	4242339	6.39	4242339	0	4242339	6.39	0	6.39	0	0	0	0	4242339
	BINDU VASHIST GOEL	AMRP G7188E	1	76350	0	0	76350	0.12	76350	0	76350	0.12	0	0.12	0	0	0	0	76350
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total		2	4318689	0	0	4318689	6.51	4318689	0	4318689	6.51	0	6.51	0	0	0	0	4318689

(A)(2)			89			9		89		8689								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		17	325850637	0	0	32585637	49.09	325850637		32585637	49.09	0	49.09	0	0	250000	0.77	32585637

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		7	465	0	0	465	0	465	0	465	0	0	0	0			141	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		1	141247	0	0	141247	0.21	141247	0	141247	0.21	0	0.21	0	0		141247	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
e	Foreign Portfolio Investors		25	1147432	0	0	1147432	1.73	1147432	0	1147432	1.73	0	1.73	0	0		1147432	

f	Financial Institutions/ Banks		11	339	0	0	339	0	339	0	339	0	0	0	0	0	0	154
g	Insurance Companies		2	88521	0	0	88521	0.13	88521	0	88521	0.13	0	0.13	0	0		88521
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		46	137800	0	0	137800	2.07	137800	0	137800	2.07	0	2.07	0	0		1377495
2	Central Government/ State Government(s)/ President of India		1	6	0	0	6	0	6	0	6	0	0	0	0	0		6
	Sub-Total (B)(2)		1	6	0	0	6	0	6	0	6	0	0	0	0	0		6
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		47466	281519	0	0	281519	42.41	281519	0	281519	42.41	0	42.41	0	0		27910520
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		47375	126386	0	0	126386	19.04	126386	0	126386	19.04	0	19.04	0	0		12397240
ii	Individual shareholders		91	155132	0	0	155132	23.37	155132	0	155132	23.37	0	23.37	0	0		15513280

	holding nominal share capital in excess of Rs. 2 lakhs.									80									
	ANIL KUMAR GOEL	AAJP G255 2Q	1	583900	0	0	583900	8.8	583900	0	583900	8.8	0	8.8	0	0			5839000
	SEEMA GOEL	AHAP G801 5A	1	245600	0	0	245600	3.7	245600	0	245600	3.7	0	3.7	0	0			2456000
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		2109	427202	0	0	427202	6.43	427202	0	427202	6.43	0	6.43	0	0			4262866
	Sub-Total (B)(3)		49575	324239	0	0	324239	48.84	324239	0	324239	48.84	0	48.84	0	0			32173386
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		49622	338019	0	0	338019	50.91	338019	0	338019	50.91	0	50.91	0	0			33550887



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII= IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Total shareholding, as assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)	
As a % of (A+B+C2) (VIII)			Class X	Class Y	Total	No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)								
1	Custodian/DR Holder	0	0	0	0					0		0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0		0	0	0	0	0	0	0			0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0		0	0	0	0	0	0	0			0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>