



Dhampur Sugar Mills Limited

241, Okhla Industrial Estate Phase-III New Delhi- 110020

Regd. Offc: Dhampur, District Bijnor (U.P.) 246761

Tel: 01344-220006, Fax: 01344-220662

Email: investor@dhampur.com, CIN NO. L15249UP1933PLC000511

Compliance Report on Corporate Governance on Quarterly Basis for Quarter ending 30th September, 2020

i. Composition Of Board Of Director

T i t l e	Name of the Director	DIN	PAN	Ca te go ry	Su b C a t e g o r y	Initial Date of Appointment	Date of Appointment	Date of cessation	T e n u r e	Date of Birth	Wh eth er spe cial res olu tio n pas sed ?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit / Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities	Me m b e r s h i p i n Com mit tees of the Com pan y	R e m a r k s
Mr .	Vijay Kumar Goel	00075317	AAUPG6819M	C & ED		19-Aug-1960	01-Apr-2020		3	09-Nov-1940	NA		2	1	0	0		
Mr .	Ashok Kumar Goel	00076553	AGYPG1248E	ED		15-Mar-1969	01-Apr-		3	01-May-1946	NA		1	0	0	0		

Whether Chairperson is related to MD or CEO	Yes
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ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Mahesh Prasad Mehrotra	ID	Chairperson	29-Dec-2000	
2	Gaurav Goel	ED	Member	27-May-2015	
3	Priya Brat	ID	Member	31-Jul-2008	
4	Ashwani Kumar Gupta	ID	Member	31-Dec-2001	

Company Remarks	Risk Management Committee is not required to be made mandatorily. But the same has been made voluntarily.
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ashwani Kumar Gupta	ID	Chairperson	31-Dec-2001	
2	Harish Saluja	ID	Member	31-Dec-2001	02-Sep-2020
3	Priya Brat	ID	Member	31-Jul-2008	
4	Sandeep Kumar Sharma	ED	Member	31-Oct-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Gaurav Goel	ED	Chairperson	12-Nov-2014	
2	Ashwani Kumar Gupta	ID	Member	12-Nov-2014	
3	Sandeep Kumar Sharma	ED	Member	12-Nov-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Mahesh Prasad Mehrotra	ID	Chairperson	31-Dec-2001	
2	Priya Brat	ID	Member	31-Jul-2008	
3	Harish Saluja	ID	Member	31-Dec-2001	02-Sep-2020
4	Ashwani Kumar Gupta	ID	Member	31-Dec-2001	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
02-June-2020	31-July-2020	Yes	11	6

Company Remarks	
Maximum gap between any two consecutive (in number of days)	57

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	02-06-2020		Yes	4	3
Audit Committee		30-07-2020	Yes	4	3
Stakeholders Relationship Committee			Yes	4	3
Nomination & Remuneration Committee		30-07-2020	Yes	4	4

Company Remarks	
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Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	57
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v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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vi. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter have been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here: Nil

vii. **Affirmations**

Sr. No.	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				

Name : **Aparna Goel**
Designation : **Company Secretary & Compliance Officer**