

Introductory sub-table (I)(a)

Name of the Company: Dhampur Sugar Mills Ltd.			
Scrip Code 500119 , Name of the scrip DHAMPURSUG, class of security: B			
Quarter Ended: September 30, 2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	2105	100	0.004
Total - D	2105	100	0.004
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	3000000 Equity warrants	100	4.98
Held by public	0	0	0
Total - F	30,00,000	100	4.98
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	*602791125 (i.e 57214935+3000000 = 60214935 Equity shares of Rs. 10 Each=Rs. 602149350 Add: Shares Forfeited Amount Rs. 652480 Less: Calls in Arrear Rs. 10705)	100	

*includes 3000000 Outstanding Equity Warrants convertible into 3000000 Equity Shares of Rs. 10 each)

(B)	Public shareholding						N A	N A
1	Institutions							
(a)	Mutual Funds/ UTI	20	43091	39717	0.08	0.08		
(b)	Financial Institutions / Banks	31	2039732	2039401	3.57	3.57		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	7	130447	129797	0.23	0.23		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)							
(i-i)		0	0	0	0.00	0.00		
(i-ii)		0	0	0	0.00	0.00		
	Sub-Total (B)(1)	58	2213270	2208915	3.87	3.87		
B 2	Non-institutions							
(a)	Bodies Corporate	914	8263938	8250842	14.44	14.44		
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	42575	8945506	8488589	15.64	15.64		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	128	6160433	6143195	10.77	10.77		
(c)	Qualified foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)							
(d-i)	Non Resident Indian	365	844274	844185	1.48	1.48		
(d-ii)	Corporate Body (OCBs)	1	191812	191812	0.34	0.34		
(d-iii)	Trust	3	10065	10065	0.02	0.02		
	Sub-Total (B)(2)	43986	24416028	23928688	42.68	42.68		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	44044	26629298	26137603	46.54	46.54		
	TOTAL (A)+(B)	44059	57214935	56723240	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0		
2	Public	0	0	0	0	0		
	Sub-Total (C)	0	0	0	0	0		
	GRAND TOTAL (A)+(B)+(C)	44059	57214935	56723240	100.00	100.00	5525000	9.66

(l)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"											
Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Ashok Kumar Goel	298000	0.52	0	0.00	0.00	0	0	0	0	0.49
2	Deepa Goel	10370	0.02	0	0.00	0.00	0	0	0	0	0.02
3	Gaurav Goel	3461379	6.05	0	0.00	0.00	750000	25	0	0	6.99
4	Gautam Goel	3492339	6.10	0	0.00	0.00	750000	25	0	0	7.05
5	Vijay Kumar Goel	425466	0.74	0	0.00	0.00	0	0	0	0	0.71
6	Vinita Goel	25050	0.04	0	0.00	0.00	0	0	0	0	0.04
7	Aparna Jalan	46100	0.08	0	0.00	0.00	0	0	0	0	0.08
8	Asha Kumari Swaroop	4	0.00	0	0.00	0.00	0	0	0	0	0.00
9	Ritu Sanghi jointly with Ajay Sanghi	7500	0.01	0	0.00	0.00	0	0	0	0	0.01
10	Shefali Poddar	31760	0.06	0	0.000	0.00	0	0	0	0	0.05
11	Shudh Edible Products Limited	4549680	7.95	3400000	74.73	5.94	0	0	0	0	7.56
12	Sonitron Limited	4940716	8.64	1875000	37.95	3.28	0	0	0	0	8.21
13	Ujjwal Rural Services Limited (Formerly Associated Metals Company Limited)	125000	0.22	0	0.00	0.00	0	0	0	0	0.21
14	Goel Investments Limited	11255515	19.67	250000	2.22	0.44	0	0	0	0	18.69
15	Saraswati Properties Limited	1916758	3.35	0	0.00	0.00	1500000	50	0	0	5.67
TOTAL		30585637	53.46	5525000	18.06	9.66	3000000	100	0	0	55.78

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	ICICI Bank Limited	1435462	2.51	0	0	0	0	2.38
2	BMF Investments Limited	1588627	2.78	0	0	0	0	2.64
3	Max Life Insurance Company Ltd. A/c -ULIF 01108/02/07LIFEGRWSUP104-Growth Super Fund	751880	1.31	0	0	0	0	1.25
4	Manish Gupta	670244	1.17	0.00	0.00	0.00	0.00	1.11
TOTAL		4446213	7.77	0.00	0.00	0.00	0.00	7.38

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	NA	NA	NA	NA	NA	NA	NA	NA

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/ Public
1	Gautam Goel	3492339	6.104	Promoter
2	Gaurav Goel	3461379	6.050	Promoter
3	Saraswati Properties Ltd.	1916758	3.350	Promoter (Associate Company)
4	JK Tyre & Industries Ltd	460075	0.804	Corporate Body - Domestic (Public)

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N A	N A	N A	N A

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N A	N A	N A	N A