

- 1 Name of Listed Entity : **Dhampur Sugar Mills Limited**
- 2 Scrip Code /Name of Scrip/Class of Se **Scrip Code (BSE : 500119/ NSE : dhampursug**
Name : Dhampur Sugar Mills Limited
Class of Security : Equity
- 3 **Shareholding Pattern Filed under Regulation 31 (1) (b) for the Quarter Ended 31st December, 2016**
- 4 **Declaration**

S.No	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares ?	Yes
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued ?	No
4	Whether the Listed Entity has any shares in locked - in ?	Yes
5	Whether any shares held by promoters are pledge or otherwise encumbered ?	Yes

For Dhampur Sugar Mills Limited

Arhant Jain
Executive President Finance & Company Secretary

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg:y	Total								
(A)	Promoter & Promoter Group	18	33585637	0	0	33585637	50.590	33585637	0	33585637	50.590	0	50.590	3000000	8.932	1625000	4.838	33585637
(B)	Public	39013	32799848	2105	0	32801953	49.410	32801953	0	32801953	49.410	0	49.410	0	0.000	NA	NA	32335296
(C)	Non Promoter- Non Public	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
	Total	39031	66385485	2105	0	66387590	100.000	66387590	0	66387590	100.000	0	100.000	3000000	8.932	1625000	4.838	65920933

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of sharehol der (III)	No. of fully paid up equity shares held (IV)	Partly paid- up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shar es held (b)	No. (a)		As a % of total Shar es held (b)
								Class X	ClassY	Total								
(1) Indian																		
(a) Individuals/Hindu Undivided Family		9	9212604	0	0	9212604	13.877	9212604	0	9212604	13.877	0	13.877	1500000	4.466	0	0.000	9212604
VIJAY KUMAR GOEL (HUF)	AADHV9113L	1	76350	0	0	76350	0.115	76350	0	76350	0.115	0	0.115	0	0.000	0	0.000	76350
ASHOK KUMAR GOEL (HUF)	AAFHA4277A	1	211050	0	0	211050	0.318	211050	0	211050	0.318	0	0.318	0	0.000	0	0.000	211050
VIJAY KUMAR GOEL	AAUPG6819M	2	349116	0	0	349116	0.526	349116	0	349116	0.526	0	0.526	0	0.000	0	0.000	349116
GAURAV GOEL	ADYPG0561H	1	4211379	0	0	4211379	6.344	4211379	0	4211379	6.344	0	6.344	750000	2.233	0	0.000	4211379
VINITA GOEL	ADYPG0562E	1	25050	0	0	25050	0.038	25050	0	25050	0.038	0	0.038	0	0.000	0	0.000	25050
DEEPA GOEL	ADYPG0563F	1	10370	0	0	10370	0.016	10370	0	10370	0.016	0	0.016	0	0.000	0	0.000	10370
GAUTAM GOEL	ADYPG0564C	1	4242339	0	0	4242339	6.390	4242339	0	4242339	6.390	0	6.390	750000	2.233	0	0.000	4242339
ASHOK KUMAR GOEL	AGYPG1248E	1	86950	0	0	86950	0.131	86950	0	86950	0.131	0	0.131	0	0.000	0	0.000	86950
(b) Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Any Others (specify) # ASSOCIATE COMPANIES		3	14797273	0	0	14797273	22.289	14797273	0	14797273	22.289	0	22.289	1500000	4.466	250000	0.744	14797273
GOEL INVESTMENTS LTD.	AAACG5286F	1	11255515	0	0	11255515	16.954	11255515	0	11255515	16.954	0	16.954	0	0.000	250000	0.744	11255515
UJJWAL RURAL SERVICES LIMITED (FORMERLY ASSOCIATED METALS COMPANY LTD)	AABCA2403M	1	125000	0	0	125000	0.188	125000	0	125000	0.188	0	0.188	0	0.000	0	0.000	125000
SARASWATI PROPERTIES LTD	AAGCS9090K	1	3416758	0	0	3416758	5.147	3416758	0	3416758	5.147	0	5.147	1500000	4.466	0	0.000	3416758
(d) Any Others (specify) # DIRECTOR & RELATIVES		4	85364	0	0	85364	0.129	85364	0	85364	0.129	0	0.129	0	0.000	0	0.000	85364
SHEFALI PODDAR	0	1	31760	0	0	31760	0.048	31760	0	31760	0.048	0	0.048	0	0.000	0	0.000	31760
APRANA JALAN	AAQPJ0303H	1	46100	0	0	46100	0.069	46100	0	46100	0.069	0	0.069	0	0.000	0	0.000	46100
ASHA KUMARI SWAROOP	AFPPS5916M	1	4	0	0	4	0.000	4	0	4	0.000	0	0.000	0	0.000	0	0.000	4
RITU SANGHI	AORPS0027C	1	7500	0	0	7500	0.011	7500	0	7500	0.011	0	0.011	0	0.000	0	0.000	7500
(d) Any Others (specify) # PERSON ACTING IN CONCERT		2	9490396	0	0	9490396	14.295	9490396	0	9490396	14.295	0	14.295	0	0.000	1375000	4.094	9490396
SONITRON LTD	AACCS0213N	1	4940716	0	0	4940716	7.442	4940716	0	4940716	7.442	0	7.442	0	0.000	0	0.000	4940716
SHUDH EDIBLE PRODUCTS LTD	AAFCS7202L	1	4549680	0	0	4549680	6.853	4549680	0	4549680	6.853	0	6.853	0	0.000	1375000	4.094	4549680
(f) Any Others (specify)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total(A)(1)			33585637	0	0	33585637	50.590	33585637	0	33585637	50.590	0	50.590	3000000	8.932	1625000	4.838	33585637
(2) Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b) Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		18	33585637	0	0	33585637	50.590	33585637	0	33585637	50.590	0	50.590	3000000	8.932	1625000	4.838	33585637

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (Not applicable)(b)
								Class X	ClassY	Total								
(1) Institutions																		
(a) Mutual Fund		25	922506	0	0	922506	1.390	922506	0	922506	1.390	0	1.390	0	0.000	NA	NA	919132
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(c) Alternate investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(e) Foreign Portfolio Investors		8	3055239	0	0	3055239	4.602	3055239	0	3055239	4.602	0	4.602	0	0.000	NA	NA	3054589
GOVERNMENT PENSION FUND GLOBAL	AACCN1454E	1	1500000	0	0	1500000	2.259	1500000	0	1500000	2.259	0	2.259	0	0.000	NA	NA	1500000
HSBC GLOBAL INVESTMENT FUNDS - ASIA EX JAPAN EQUITY SMALLER COMPANIES	AADCH8960Q	1	1553792	0	0	1553792	2.340	1553792	0	1553792	2.340	0	2.340	0	0.000	NA	NA	1553792
(f) Financial Institutions/ Banks		30	1223217	0	0	1223217	1.843	1223217	0	1223217	1.843	0	1.843	0	0.000	NA	NA	1222886
ICICI BANK LIMITED	AAACI1195H	1	931158	0	0	931158	1.403	931158	0	931158	1.403	0	1.403	0	0.000	NA	NA	931158
(g) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(i) Any Others (specify)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
Sub-Total(B)(1)		63	5200962	0	0	5200962	7.834	5200962	0	5200962	7.834	0	7.834	0	0.000	NA	NA	5196607
(2) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0

(3)	Non-institutions	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(a)	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	37525	10353344	2105	0	10355449	15.598	10355449	0	10355449	15.598	0	15.598	0	0.000	NA	NA	9906275	
(a)	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	125	12202862	0	0	12202862	18.381	12202862	0	12202862	18.381	0	18.381	0	0.000	NA	NA	12202862	
	ANIL KUMAR GOEL	AAJPG2552Q	1	2979071	0	0	2979071	4.487	2979071	0	2979071	4.487	0	4.487	0	0.000	NA	NA	2979071
	SEEMA GOEL	AHAPG8015A	1	934000	0	0	934000	1.407	934000	0	934000	1.407	0	1.407	0	0.000	NA	NA	934000
(b)	NBFCs registered with RBI	2	1500	0	0	1500	0.002	1500	0	1500	0.002	0	0.002	0	0.000	NA	NA	1500	
(c)	Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0	
(e)	Any Other (specify) # CORPORATE BODY	975	4154704	0	0	4154704	6.258	4154704	0	4154704	6.258	0	6.258	0	0.000	NA	NA	4141664	
(e)	Any Other (specify) # CORPORATE BODY (OCB)	1	191812	0	0	191812	0.289	191812	0	191812	0.289	0	0.289	0	0.000	NA	NA	191812	
(e)	Any Other (specify) # NRI	317	676289	0	0	676289	1.019	676289	0	676289	1.019	0	1.019	0	0.000	NA	NA	676201	
(e)	Any Other (specify) # TRUST	5	18375	0	0	18375	0.028	18375	0	18375	0.028	0	0.028	0	0.000	NA	NA	18375	
	CORPORATE BODY # ITHOUGHTWEALTH ANALYTICS LLP	AAFFI8518J	1	700858	0	0	700858	1.056	700858	0	700858	1.056	0	1.056	0	0.000	NA	NA	700858
	Sub-Total (B)(3)	38950	27598886	2105	0	27600991	41.576	27600991	0	27600991	41.576	0	41.576	0	0.000	NA	NA	27138689	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	39013	32799848	2105	0	32801953	49.410	32801953	0	32801953	49.410	0	49.410	0	0.000	NA	NA	32335296	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder																			
Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable)	As a % of total Shares held (Not applicable)(b)		
								Class X	Class Y	Total									
(1) Custodian/DR Holder		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0		
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0	
Total Non-Promoter-Non-Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0	

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,