

- 1 Name of Listed Entity : **Dhampur Sugar Mills Limited**
- 2 Scrip Code /Name of Scrip/Class of Scrip : **Scrip Code (BSE : 500119/ NSE : dhampursug**
Name : Dhampur Sugar Mills Limited
Class of Security : Equity
- 3 **Shareholding Pattern Filed under Regulation 31 (1) (b) for the Quarter Ended 30th September, 2016**
- 4 **Declaration**

S.No	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares ?	Yes
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued ?	No
4	Whether the Listed Entity has any shares in locked - in ?	Yes
5	Whether any shares held by promoters are pledge or otherwise encumbered ?	Yes

For Dhampur Sugar Mills Limited

Arhant Jain
Executive President Finance & Company Secretary

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg:y	Total								
(A)	Promoter & Promoter Group	25	33585637	0	0	33585637	50.590	33585637	0	33585637	50.590	0	50.590	3000000	8.932	2125000	6.327	33585637
(B)	Public	40769	32799848	2105	0	32801953	49.410	32801953	0	32801953	49.410	0	49.410	0	0.000	NA	NA	32333036
(C)	Non Promoter- Non Public	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
	Total	40794	66385485	2105	0	66387590	100.000	66387590	0	66387590	100.000	0	100.000	3000000	8.932	2125000	6.327	65918673

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	
								Class X	Class Y	Total									
(1) Indian																			
(a) Individuals/Hindu Undivided Family		9	9212604	0	0	9212604	13.877	9212604	0	9212604	13.877	0	13.877	1500000	4.466	0	0.000	9212604	
VIJAY KUMAR GOEL	AADHV9113L	1	76350	0	0	76350	0.115	76350	0	76350	0.115	0	0.115	0	0.000	0	0.000	76350	
ASHOK KUMAR GOEL	AAFHA4277A	1	211050	0	0	211050	0.318	211050	0	211050	0.318	0	0.318	0	0.000	0	0.000	211050	
VIJAY KUMAR GOEL	AAUPG6819M	2	349116	0	0	349116	0.526	349116	0	349116	0.526	0	0.526	0	0.000	0	0.000	349116	
GAURAV GOEL	ADYPG0561H	1	4211379	0	0	4211379	6.344	4211379	0	4211379	6.344	0	6.344	750000	2.233	0	0.000	4211379	
VINITA GOEL	ADYPG0562E	1	25050	0	0	25050	0.038	25050	0	25050	0.038	0	0.038	0	0.000	0	0.000	25050	
DEEPA GOEL	ADYPG0563F	1	10370	0	0	10370	0.016	10370	0	10370	0.016	0	0.016	0	0.000	0	0.000	10370	
GAUTAM GOEL	ADYPG0564C	1	4242339	0	0	4242339	6.390	4242339	0	4242339	6.390	0	6.390	750000	2.233	0	0.000	4242339	
ASHOK KUMAR GOEL	AGYPG1248E	1	86950	0	0	86950	0.131	86950	0	86950	0.131	0	0.131	0	0.000	0	0.000	86950	
(b) Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	0	0.000	0	0.000	0
(c) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	0	0.000	0	0.000	0
(d) Any Others (specify) # ASSOCIATE COMPANIES		9	14797273	0	0	1.5E+07	22.289	14797273	0	14797273	22.289	0	22.289	1500000	4.466	250000	0.744	14797273	
GOEL INVESTMENT LTD	AAACG5286F	1	2418023	0	0	2418023	3.642	2418023	0	2418023	3.642	0	3.642	0	0.000	0	0.000	2418023	
GOEL INVESTMENTS LTD	AAACG5286F	2	2200512	0	0	2200512	3.315	2200512	0	2200512	3.315	0	3.315	0	0.000	250000	0.744	2200512	
GOEL INVESTMENTS LTD.	AAACG5286F	1	6636980	0	0	6636980	9.997	6636980	0	6636980	9.997	0	9.997	0	0.000	0	0.000	6636980	
UJJWAL RURAL SERVICES LIMITED (FORMERLY ASSOCIATED METALS COMPANY LTD)	AABCA2403M	1	125000	0	0	125000	0.188	125000	0	125000	0.188	0	0.188	0	0.000	0	0.000	125000	
SARASWATI PROPERTIES LIMITED	AAGCS9090K	1	1200918	0	0	1200918	1.809	1200918	0	1200918	1.809	0	1.809	0	0.000	0	0.000	1200918	
SARASWATI PROPERTIES LTD	AAGCS9090K	3	2215840	0	0	2215840	3.338	2215840	0	2215840	3.338	0	3.338	1500000	4.466	0	0.000	2215840	

(d)	Any Others (specify) # DIRECTOR & RELATIVES		4	85364	0	0	85364	0.129	85364	0	85364	0.129	0	0.129	0	0.000	0	0.000	85364
	SHEFALI PODDAR	0	1	31760	0	0	31760	0.048	31760	0	31760	0.048	0	0.048	0	0.000	0	0.000	31760
	APRANA JALAN	AAQPJ0303H	1	46100	0	0	46100	0.069	46100	0	46100	0.069	0	0.069	0	0.000	0	0.000	46100
	ASHA KUMARI SWAROOP	AFPPS5916M	1	4	0	0	4	0.000	4	0	4	0.000	0	0.000	0	0.000	0	0.000	4
	RITU SANGHI	AORPS0027C	1	7500	0	0	7500	0.011	7500	0	7500	0.011	0	0.011	0	0.000	0	0.000	7500
(d)	Any Others (specify) # PERSON ACTING IN CONCERT		3	9490396	0	0	9490396	14.295	9490396	0	9490396	14.295	0	14.295	0	0.000	1875000	5.583	9490396
	SONITRON LTD	AACCS0213N	2	4940716	0	0	4940716	7.442	4940716	0	4940716	7.442	0	7.442	0	0.000	0	0.000	4940716
	SHUDH EDIBLE PRODUCTS LTD	AAFCS7202L	1	4549680	0	0	4549680	6.853	4549680	0	4549680	6.853	0	6.853	0	0.000	1875000	5.583	4549680
(f)	Any Others (specify)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total(A)(1)		25	33585637	0	0	3.4E+07	50.590	33585637	0	33585637	50.590	0	50.590	3000000	8.932	2125000	6.327	33585637
(2)	Foreign	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	0	0.000	0
(b)	Government	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	0	0.000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		25	33585637	0	0	3.4E+07	50.590	33585637	0	33585637	50.590	0	50.590	3000000	8.932	2125000	6.327	33585637

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (Not applicable)(b)
								Class X	Class V	Total								
(1) Institutions																		
(a) Mutual Fund		26	1013996	0	0	1013996	1.527	1013996	0	1013996	1.527	0	0.000	NA	NA		1010622	
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
(c) Alternate investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
(e) Foreign Portfolio Investors		8	3070115	0	0	3070115	4.625	3070115	0	3070115	4.625	0	0.000	NA	NA		3069465	
COPTHALL MAURITIUS INVESTMENT LIMITED	AAACC4303M	1	1568668	0	0	1568668	2.363	1568668	0	1568668	2.363	0	0.000	NA	NA		1568668	
GOVERNMENT PENSION FUND GLOBAL	AACCN1454E	1	1500000	0	0	1500000	2.259	1500000	0	1500000	2.259	0	0.000	NA	NA		1500000	
(f) Financial Institutions/ Banks		31	1899351	0	0	1899351	2.861	1899351	0	1899351	2.861	0	0.000	NA	NA		1899006	
ICICI BANK LIMITED	AAACI1195H	1	1231158	0	0	1231158	1.855	1231158	0	1231158	1.855	0	0.000	NA	NA		1231158	
(g) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
(i) Any Others (specify)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
Sub-Total(B)(1)		65	5983462	0	0	5983462	9.013	5983462	0	5983462	9.013	0	0.000	NA	NA		5979093	
(2) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
(3) Non-institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA		0	
(a) Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		39250	10648683	2105	0	10650788	16.043	10650788	0	10650788	16.043	0	0.000	NA	NA		10199368	
(a) ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		110	9934299	0	0	9934299	14.964	9934299	0	9934299	14.964	0	0.000	NA	NA		9934299	
ANIL KUMAR GOEL	AAJPG2552Q	1	2385000	0	0	2385000	3.593	2385000	0	2385000	3.593	0	0.000	NA	NA		2385000	
SEEMA GOEL	AHAPG8015A	1	900000	0	0	900000	1.356	900000	0	900000	1.356	0	0.000	NA	NA		900000	

(b)	NBFCs registered with RBI		2	500	0	0	500	0.001	500	0	500	0.001	0	0.001	0	0.000	NA	NA	500
(c)	Employee Trusts	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	NA	NA	0
(e)	Any Other (specify) # CORPORATE BODY		1005	5400683	0	0	5400683	8.135	5400683	0	5400683	8.135	0	8.135	0	0.000	NA	NA	5387643
(e)	Any Other (specify) # CORPORATE BODY (OCB)		1	191812	0	0	191812	0.289	191812	0	191812	0.289	0	0.289	0	0.000	NA	NA	191812
(e)	Any Other (specify) # NRI		335	640397	0	0	640397	0.965	640397	0	640397	0.965	0	0.965	0	0.000	NA	NA	640309
(e)	Any Other (specify) # TRUST		1	12	0	0	12	0.000	12	0	12	0.000	0	0.000	0	0.000	NA	NA	12
	CORPORATE BODY # ITHOUGHTWEALTH ANALYTICS LLP	AAFFI8518J	1	700858	0	0	700858	1.056	700858	0	700858	1.056	0	1.056	0	0.000	NA	NA	700858
	Sub-Total (B)(3)		40704	26816386	2105	0	26818491	40.397	26818491	0	26818491	40.397	0	40.397	0	0.000	NA	NA	26353943
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		40769	32799848	2105	0	32801953	49.410	32801953	0	32801953	49.410	0	49.410	0	0.000	NA	NA	32333036

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable)		As a % of total Shares held (Not applicable)(b)
								Class X	ClassY	Total								
(1) Custodian/DR Holder		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
Total Non-Promoter-Non-Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,